

RESOLUTIONS OF THE BOARD OF DIRECTORS

OF

CALIFORNIA ASSOCIATION FOR BEHAVIOR ANALYSIS

Policy on Suspected Misconduct, Dishonest,
Fraud and Whistle-Blower Protection

RESOLVED, that the following is hereby adopted as the “Policy on Suspected Misconduct, Dishonest, Fraud and Whistle-blower Protection” of this corporation:

If any person knows of or has a suspicion about misconduct, dishonesty or fraud, the President should be contacted. If the alleged wrongdoing concerns the President, then another officer or director of this corporation should be notified instead.

If the President or other officer or director of this corporation received information about misconduct, dishonesty or fraud, they shall inform the Board, which shall determine the procedure for investigating all credible allegations.

At all times, the privacy and reputation of individuals involved will be respected. There will be no punishment or other retaliation for the reporting of conduct under this Policy. If the person providing the information requests anonymity, this request will be respected to the extent that doing so does not impede any investigation.

Conflicts of Interest Policy

RESOLVED, that the Conflicts of Interest Policy presented to the Board at this meeting (a copy of which shall be attached to the minutes of this meeting) is hereby adopted as the Conflicts of Interest Policy of this corporation; and

FURTHER RESOLVED, that this Conflicts of Interest Policy shall be reviewed by, and the Conflicts of Interest Disclosure Statement completed by, every director and officer of this corporation annually in the first quarter of each calendar year; and

FURTHER RESOLVED, that each completed Disclosure Statement shall be reviewed annually by this Board no later than the second quarter of each calendar year; and

FURTHER RESOLVED, that this Board shall determine, with the advice of legal or accounting professionals, if necessary, if any conflict of interest that has been disclosed, either in the Conflicts of Interest Disclosure Statement or at any other time pursuant to the Conflicts of Interest Policy, requires further Board action beyond mere disclosure to this Board of the conflict of interest; and

FURTHER RESOLVED, that the President of this corporation is hereby authorized to execute this Conflicts of Interest Policy on behalf of this corporation.

Records Retention Policy

RESOLVED, that the “Records Retention Policy” presented to the Board at this meeting (a copy of which shall be attached to the minutes of this meeting) is hereby adopted as the Records Retention Policy of this corporation; and

FURTHER RESOLVED, that the President of this corporation is hereby authorized to execute this Records Retention Policy on behalf of this corporation.

*Adopted by the CalABA Board of Directors on February 5, 2010
To be reviewed again by the Board prior to February 5, 2011*